

DUARTE CANOTILHO

SENIOR ASSOCIATE

PRACTICE AREAS

Commercial and Corporate Law | Banking and
Financial Law | Criminal Law, Regulatory Offences
and Compliance

SERVICES

Compliance

LANGUAGES

Portuguese, English

EMAIL

dac@paresadvogados.com



Duarte has focused his activity around Banking and Financial Law, providing Compliance services and regulatory support to Management Companies of Collective Investment Organizations.

He has assumed the role of Compliance Officer and coordinator of Compliance teams in various Management Companies of Collective Investment Organizations. He also provides regulatory support to the Boards of Directors of Management Companies, Investment Firms (Investment Advisors, Brokers, Asset Managers, etc.), and Crowdfunding Managers.

Duarte has extensive experience in the establishment of Management Companies (whether Venture Capital Companies or Management Companies of Collective Investment Organizations), Investment Firms, and Crowdfunding Managers, as well as in the merger of Management Companies, authorization expansion processes, fit and proper assessments, liquidation, capital increases and reductions, as well as authorization processes with the CMVM (Securities Market Commission), ASF (Insurance and Pension Funds Supervisory Authority), and the Bank of Portugal.

Additionally, he has structured various Alternative Investment Organizations and successfully submitted several types of funds (real estate investment, venture capital, bonds, cryptocurrencies,

master/feeder funds, and others) and Collective Investment Companies to the CMVM. He has also carried out various merger, liquidation, capital increase, and reduction operations for the mentioned types of funds.

He participated in the transposition of the Directive into Law 83/2017, which establishes measures for the prevention of Money Laundering and has coordinated the implementation of systems for preventing money laundering and terrorist financing in various entities, both financial and non-financial (auction houses, sellers of high-value goods, and real estate companies), and provides advisory services on KYC processes and reporting suspicious transactions to the UIF (Financial Intelligence Unit) and DCIAP (Department of Investigation and Penal Action). Also, he was responsible for coordinating the implementation of anti-corruption systems and reporting channels in a number of public entities and private companies.

Finally, Duarte has delivered training on Money Laundering Prevention, Internal Control Systems, Regulatory Law and Compliance, and anti-corruption, both for regulated and unregulated entities, notably at the invitation of the Portuguese Association of Investment Funds, Pensions, and Assets.

CAREER PATH

- Lawyer at PARES since 2018
- Associate Lawyer at PLMJ, Law Firm (2017-2018)
- Legal Consultant at the Portuguese Banking Association (2015-2017)
- Professional Internship at the Securities Market Commission - CMVM (2014-2015)
- Senior Associate at PARES since 2024

ACADEMIC TRAINING

- III Intensive Course in Investment Funds at the Faculty of Law, University of Lisbon (2020)
- Postgraduate Degree in Law Enforcement, Compliance, and Criminal Law, Faculty of Law, University of Lisbon (2018)
- Master's Degree in Private Law Sciences – Commercial Law, Faculty of Law, University of Porto (2011-2013)
- Free Course in Criminal Law and Criminal Procedural Practices at the Faculty of Law, University of Porto (2012-2013)
- Degree in Law from the Faculty of Law, University of Porto (2006-2010)

